



IMS MIRABELLA

Hosted Regulatory Permissions

IMS offers its regulatory permissions solutions to investment firms who need a high quality and time efficient means of accessing financial markets. Typically, the services offered by Mirabella appeal to firms that:

- need to be able to market their plans and opportunities faster than the FSA authorisation process allows;
- want to develop their business under another firm's supervision before assuming full FSA responsibility;
- need to avoid the additional administrative burden of regulatory filings and maintaining their own compliance documentation; or
- where the cost of direct FSA authorisation is disproportionate to the limited regulated activity the firm will undertake.

IMS Mirabella is the ultimate flexible regulatory licensing solution, enabling firms to quickly operate under a regulatory licence while retaining the ownership, identity and economic rewards of their enterprise, and without needing to commit to a long term arrangement.

Why Mirabella?

Since 2003 Mirabella has helped many dozens of investment firms establish or continue their businesses by extending its own regulatory permissions to its clients. As part of the IMS suite of solutions, Mirabella's clients immediately have access to the UK's largest and most respected compliance consultancy. This proves invaluable if you wish to continue to run your business within Mirabella's supportive environment, and also provides essential continuity for those who use Mirabella as a stepping stone to their own FSA authorisation.

The most common ways in which firms make use of Mirabella's services are:

Marketing

Whether you need to start marketing your services before your own FSA licence is granted, or your marketing activity just doesn't justify the cost of your own licence, Mirabella can help.

As a client of Mirabella you will be able to market in your own name, safe in the comfort that we will be supporting you and helping to ensure you stay within the detailed restrictions.

Investment Management

The Mirabella solution enables firms who need to make discretionary management decisions do so with a lead time potentially as short as two weeks.

Mirabella has helped a number of fund managers in a wide range of asset classes, and following a wide range of strategies. As well as providing a controlled compliance environment, the Mirabella solution can also provide detail risk management and reporting services.

Advisory

Investment opportunities and the pipeline of potential deals, either for your UK clients, or offshore funds and investors, can be significantly hampered by having to wait for FSA authorisation.

By using the Mirabella solution, firms are able to provide investment advice in their own name, significantly more quickly than awaiting FSA authorisation.

IMS Mirabella takes the responsibility for your FSA compliance arrangements and combined with our accounting and tax skills we enable you to focus on your clients. For further information please contact Sophie Centeno (sophie.centeno@theimgroup.co.uk) or Elisa Perna (elisa.perna@theimgroup.co.uk)