



## Portfolio Risk Management

Risk Management will always be uppermost in asset managers minds. However, the cost and availability of risk management professionals often places dedicated risk management expertise beyond the reach of many firms. IMS offers independent professional risk management support often out of the reach of all but the largest firms.

### IMS Risk Products

**Risk Set Up:** IMS can help investment managers in setting up the entire risk function (from drafting the risk policy, choosing systems, to recruiting the risk management personnel), ensuring the higher standards now expected by investors and regulatory authorities.

**Risk Assessment (Due Diligence):** We can assess and report on the veracity of risk management methodology and calculations of selected fund managers on behalf of investors and investment managers. We work with the investment manager to improve upon the efficiency and effectiveness of their risk processes. Our due diligence methodologies and innovative original research allows our clients to continually manage and mitigate their risk exposures which is based on the latest 'best practice' methodologies.

**Risk Monitoring:** On behalf of investors and investment managers, we offer periodic risk management oversight (daily, monthly, quarterly etc.) aimed to monitor and identify any events or circumstances that may result in the investment management's firm failing to meet the risk management standards on an ongoing basis. We can also undertake regular monitoring of the client's investment portfolio in order to ensure that it is operating within risk limits contained within the fund prospectus and internal risk guidelines. Additionally we can be engaged to become an independent member of the investment manager's risk committee.

**Risk Certification:** Upon reviewing your procedures, we will assess and make recommendations to enhance or improve your risk management arrangements. Once all recommendations have been addressed, we will certify your risk management procedures as compliant with 'good practice' standards set by the industry. With our credentials in compliance and risk management, the IMS certification will be a valuable asset for new investors.

**Bespoke Risk Projects:** IMS can routinely produce ex-ante/post-trade risk analysis and performance data. In addition, we can develop proprietary risk management tools and systems for firms in order to run by themselves or provide regular independent reports and analysis. These services can be built to your specifications.

### Why IMS Risk?

The IMS Group ('IMS') is the largest independent provider of investment compliance consulting and risk management support to the asset management and securities industry. IMS, differently from its competitors, runs its own investment management firm, active since 2005. Being responsible on a real time basis for the risk management of multiple funds, we have definitively gained expertise to look at risk from a bottom up perspective. We are practitioners as much as we are consultants.

Regulators expect investment management firms to put more emphasis on risk controls and take expert advice concerning the effectiveness of their current control framework. With our credentials in compliance and risk management, IMS can help you to meet your responsibilities effectively.

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